



SRI SRI ANIRUDDHADEVA SPORTS UNIVERSITY, CHABUA
DISTRICT DIBRUGARH: ASSAM
(A State University)

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No. SASU/CHB/296/Ph.D/2024/ **3810**

Date: 19/08/2025

NOTIFICATION

This is for information to all concerned that, as approved by the Academic and Activity Council in its 11th Meeting through Agenda Item No. 5 held on 26th April 2025, certain typographical corrections/changes have been made to the Ordinance and Regulations for the Degree of Doctor of Philosophy (Ph.D) of Sri Sri Aniruddhadeva Sports University.

The corrected and final version of the Ordinance and Regulations for the Degree of Doctor of Philosophy (Ph.D), applicable from the Academic Year 2024-25, has been duly approved by the Council and is enclosed at **Annexure A**.

All concerned are advised to refer to the updated document for compliance and further reference.

P. Borthakur
(Pankaj Borthakur) 19/08/2025
Registrar,

Sri Sri Aniruddhadeva Sports University,
Chabua, Dibrugarh, Assam

Memo No.: No. SASU/CHB/296/Ph.D/2024/ **3810 - A**

Date: 19/08/2025

Copy to:

1. The Hon'ble Vice Chancellor, SASU for favour of kind information.
2. The Dean, School of Human Kinetics & Health, SASU for information.
3. The Controller of Examinations, SASU for information.
4. All faculty members, SASU for information.
5. All Ph.D Scholars, SASU for information.
6. Mr Priyanshu Baruah, SASU for uploading the same in the University Website.
7. Office File for record.

P. Borthakur
(Pankaj Borthakur) 19/08/2025
Registrar,

Sri Sri Aniruddhadeva Sports University,
Chabua, Dibrugarh, Assam

ANNEXURE – A

SRI SRI ANIRUDDHADEVA SPORTS UNIVERSITY, CHABUA

(A State University of Assam, Recognized by the UGC under 2(f) Category)

[As approved by the 11th meeting of the Academic and Activity Council, Sri Sri Aniruddhdeva Sports University held on 26/04/2025 vide Resolution No. 5]



Ordinance and Regulations

For the

Degree of Doctor of Philosophy (Ph.D.)

(As per University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022)

Applicable From the Academic Year 2024-25

Sri Sri Aniruddhadeva Sports University
2nd Floor, DICC Building, Near DC Office

CHAPTER – I

ORDINANCE FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

(Drawn from the “**Ordinance No 14**, PhD Ph.D. REQUIREMENTS AND AWARD OF DEGREES”,
Ordinances of Sri Sri Aniruddhadeva Sports University approved by the Assam Gazette,
Extraordinary, February 06, 2023.)

1. Sri Sri Aniruddhadeva Sports University awards the degree of Doctor of Philosophy to a candidate who has successfully completed the required course work and prescribed programme of research in one of the Schools of Studies in the University.
2. The Academic Council of the University prepares the programme of research alongwith its rules and regulations to be adopted. The Academic Council can modify or change the programme structure, governing rules and regulations from time to time.
3. A candidate to whom Ph.D. degree is to be awarded has to submit a thesis embodying the findings of his/her research carried out under this programme. The thesis should be a piece of original contribution of high quality to the advancement of knowledge as judged by the experts in the relevant area.
4. A candidate shall become eligible for the award of Ph.D. degree after fulfilling the prescribed academic requirement as prescribed by the Academic Council of the University.
5. The Executive Council of the University shall approve the award upon the recommendation of the Academic Council.
6. In the case of any controversy in the Examiner Board of Thesis (EBT) or Open Defense Committee (ODC) report, the decision of Academic Council shall be final and binding.
7. The Ph.D. degree shall be awarded in the discipline of the department in which the students register for the Ph.D. program.

CHAPTER – II

The Vision, Mission and Quality Policy of the University

THE VISION

A sports university with an innovative educational system and high-level research to realize a knowledge-based society.

THE MISSION

To prepare future leaders in sports and physical education to promote a healthier and more physically active society. Our mission includes education for character building, enabling learners to be ethical, rational, compassionate, and caring individuals, and preparing them for gainful and fulfilling employment. We practice a philosophy of teaching aimed at nurturing the pursuit of knowledge (Jnan), wisdom (Pragya), and truth (Satya).

QUALITY POLICY

SASU provides excellent education, training, research, competency development, and consultation to all stakeholders. Innovative teaching techniques and research at this university strive to continuously improve physical education and sports activities. SASU strives for knowledge networking. To achieve this, we ensure:

- Clearly communicate quality policies at all levels.
- Consistently commit to policies.
- Conduct periodic audits of quality procedures

CHAPTER III

Regulations for the Degree of Doctor of Philosophy

1. INTRODUCTION

Sri Sri Aniruddhadeva Sports University is committed to fostering advanced research at both national and international levels in Sports Sciences, Physical Education, and related disciplines. With a focus on promoting research that addresses the specific needs of the Indian population while also contributing to global knowledge, the University emphasizes interdisciplinary approaches that enhance sports performance and promote an active society. The "Ph.D. Rules and Regulations 2024" outline the framework for the University's doctoral program, which is designed to prepare scholars for academic and leadership roles in universities and colleges. These regulations provide comprehensive guidance on admission requirements, program structure, and the process of earning a doctorate, ensuring adherence to the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022. The Ph.D. program, available in both full-time and part-time formats, is instrumental in developing future leaders in the fields of Physical Education, Sports Sciences, and allied areas. Further details can be found on the University's official website, with these rules coming into effect from 2024 academic session.

2. DEFINITIONS

- a. In these rules and regulations, unless the context otherwise requires:
- i. "Act" means the University Grants Commission Act, 1956 (3 of 1956).
 - ii. "Adjunct Faculty" refers to a part-time or contingent instructor who is not a full-time faculty member but is hired to teach by a Higher Educational Institution.
 - iii. "Audit Course" refers to a course that a student may take without intending to include it in the list of courses counted for credits earned by him/her.
 - iv. "Commission" means the University Grants Commission established under Section 4 of the UGC Act, 1956.
 - v. "Controller of Examination (COE)" refers to the Controller of Examinations of the University or any other faculty member authorized by the University to act as the Controller of Examinations.
 - vi. "Course" means one of the specified units that comprise a program of study.
 - vii. "Course Work" refers to the courses of study prescribed by the School/Department/Centre to be undertaken by a student registered for the Ph.D. Degree.
 - viii. "Credit" means the number of hours of instruction required per week over a semester. For example, a three-credit course in a semester involves three one-hour lectures per week, with each one-hour lecture counted as one credit.
 - ix. "Degree" refers to a degree awarded by a Higher Educational Institution as per the provisions of Section 22(3) of the Act.

- x. "Departmental Research Committee (DRC)" refers to the nodal body of any Department responsible for guiding the research of all Ph.D. candidates in the Department throughout their program. It also oversees the development of the Department's research activities.
- xi. "Doctor of Philosophy (Ph.D.)" signifies that the awardees have successfully completed the stipulated course syllabi and undertaken a significant piece of original research, conducted and reported by the holder under proper academic supervision and within a research environment for a prescribed period.
- xii. "External Examiner" refers to an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar is registered.
- xiii. "Foreign Educational Institution" means: (i) an institution duly established or incorporated in its home country, offering educational programs at the undergraduate, postgraduate, and higher levels in its home country, and (ii) one that offers a program(s) of study leading to the award of a degree through conventional face-to-face mode, excluding distance, online, and ODL modes.
- xiv. "Grade" refers to a letter grade assigned to a student based on the evaluation of a course on a ten-point scale.
- xv. "Grade Point" refers to a numerical weight allotted to each letter grade on a 10-point scale.
- xvi. "Guide/Research Supervisor" refers to an academician/researcher recognized by a Higher Educational Institution to supervise the Ph.D. scholar in his/her research.
- xvii. "Interdisciplinary Research" refers to research conducted by a Ph.D. scholar in two or more academic disciplines.
- xviii. "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programs and Online Programs) Regulations, 2020.
- xix. "Open and Distance Learning Modes" shall have the same meaning as defined under the UGC (Open and Distance Learning Programs and Online Programs) Regulations, 2020.
- xx. "Plagiarism" refers to the practice of taking someone else's work or ideas and passing them off as one's own.
- xxi. "Program" refers to a higher education program leading to a degree specified by the Commission under sub-section (3) of Section 22 of the Act.
- xxii. "Prospectus" refers to any document, whether in print or otherwise, issued to provide fair and transparent information related to a Higher Educational Institution and its programs to the general public, including those seeking admission to such institutions.
- xxiii. "Research" refers to a systematic study or investigation aimed at discovering new knowledge in the form of facts/patterns, new interpretations of ideas, or the invention of new devices.
- xxiv. "Research Proposal" refers to a brief write-up providing an outline of the proposed research work that the Ph.D. scholar shall submit along with the application for registration for the Ph.D. program.
- xxv. "Research Student" refers to a student admitted to the Ph.D. program of a Higher Educational Institution through the procedures adopted by the University.
- xxvi. "SGPA" refers to the Semester Grade Point Average of a candidate, which is an indicator of her/his overall academic performance in all courses she/he registers for during the semester.

b. Words and expressions used but not defined in these Rules and Regulations, and

defined in the Act, and not inconsistent with these Regulations, shall have the meanings assigned to them in that Act.

3. ADMISSION TO Ph.D. PROGRAM:

- a. Admission to the Ph.D. program in each Department shall be based on the availability of seats. The announcement for the Ph.D. program shall be made at the beginning of the academic session. However, candidates may be admitted twice a year, subject to administrative convenience. In the initial years, the University may announce a Ph.D. program without specifying the Department.
- b. All available seats shall be notified by the University in advance.
- c. Reservation of seats shall be in accordance with the guidelines, as amended from time to time by the UGC/Assam Government.

4. ELIGIBILITY

The eligibility for admission to the Ph.D. program shall be as follows:

Candidates who have completed:

- i. A 1-year/2-semester master's degree program after a 4-year/8-semester bachelor's degree program, or a 2-year/4-semester master's degree program after a 3-year bachelor's degree program, or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed, or an equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency approved, recognized, or authorized by an authority established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit, or assure the quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree program must have a minimum CGPA of 7.5 or more on a 10-point scale, or 75% marks in aggregate. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

- ii. Candidates who have completed the M.Phil. program with at least 55% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed, or an equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency approved,

recognized, or authorized by an authority established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit, or assure the quality and standards of educational institutions, shall be eligible for admission to the Ph.D. program. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

5. PROCEDURE FOR ADMISSION

- a. The University shall conduct a Research Eligibility Test with qualifying marks set at 50% (with a relaxation of 5% of marks for those belonging to SC/ST/OBC [non-creamy layer]/Differently-Abled/EWS and other categories of candidates as per the decision of the Commission from time to time). The syllabus for the Written Test shall consist of: (a) Research Methodology (50%) (Paper i. Research Methods, and Paper ii. Applied Statistics), and (b) Subject-specific content (50%). The Entrance Test shall be conducted at the Centre(s) notified in advance by the University.
- b. Admission shall be based on the performance of qualified candidates in the interview/viva voce organized by the Department concerned, where candidates shall be required to discuss their research interest/area through a presentation before a duly constituted Departmental Research Committee. However, in the initial years, this process may be centralized.
- c. The Ph.D. admission committee may restrict the number of candidates called for an interview among those who qualify for the written test if the number of candidates is large.
- d. The final merit list will be prepared from candidates who secure at least 50% overall in both the written examination and the presentation/interview, provided the candidate also secures a minimum of 50% in the presentation/interview. A weightage of 70% for the entrance test and 30% for performance in the interview/viva voce will be applied in selecting Ph.D. candidates.

6. DEPARTMENTAL RESEARCH COMMITTEE (DRC)

- a. Each Department of the University will have a Departmental Research Committee (DRC), which will supervise the academic matters related to the Ph.D. program. The formation of the DRC in each Department shall be as follows:
 - i. Head of the Department : Chairman (ex-officio)
 - ii. All the Professors of the Department : Member (ex-officio)
 - iii. Associate Professors in the Department, subject to a maximum of two, by rotation according to seniority : Member
 - iv. Two Assistant Professors in the Department qualified to be Research (Ph.D.) supervisor(s), by rotation according to seniority. : Member
 - v. One expert nominated by the Vice Chancellor* : Member

* If required more than one outside expert may be invited.

- b. Supervisors shall be members of the Departmental Research Committee. The term of the members of the Departmental Research Committee, except the ex-officio members, shall be two years.
- c. At least 50% of the members shall be required to be present at the meeting to form a quorum, with the presence of the Chairman or his/her representative being mandatory.
- d. If no teacher in the Department is eligible to be the Head, a Professor nominated by the Vice Chancellor shall chair the DRC.

Note: In the initial stages of the University, where such formation is not possible due to the non-availability of Professors and Associate Professors, the DRC will be formed by the Chairman of the Academic Council.

7. DURATION OF PH.D. PROGRAM

- a. The Ph.D. program shall have a minimum duration of three years for regular candidates and four and a half years for part-time candidates, including coursework, with a maximum duration of six years from the date of admission to the Ph.D. program.
- b. A maximum of an additional two (2) years (one year at a time) may be granted through a process of re-registration; however, the total period for completion of the Ph.D. program should not exceed eight (8) years from the date of admission to the Ph.D. program. Further, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of the Ph.D. program in such cases should not exceed ten (10) years from the date of admission to the Ph.D. program.
- c. Female Ph.D. scholars may be provided Maternity Leave/Child Care Leave for up to 240 days during the entire duration of the Ph.D. program.

8. ELIGIBILITY CRITERIA AND ALLOCATION OF RESEARCH SUPERVISOR

- a. Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.
 - i. Permanent faculty members working as Professors/Associate Professors in Higher Educational Institutions with a Ph.D. and at least five research publications in peer-reviewed or refereed journals, and permanent faculty members working as Assistant Professors with a Ph.D. and at least three research publications in peer-reviewed or refereed journals, may be recognized as Research Supervisors in the University where the faculty member is employed or in its affiliated Post-graduate Colleges/Institutes. These recognized research supervisors cannot supervise research scholars in other

institutions, though they may serve as co-supervisors there. A Ph.D. awarded by a University under the supervision of a faculty member who is not an employee of the University or its affiliated Post-graduate Colleges/Institutes would be considered a violation of these Regulations.

- ii. For Ph.D. scholars working in Central/State government research institutions whose degrees are awarded by Higher Educational Institutions, scientists in such research institutions who hold positions equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they meet the above requirements.
- iii. Provided that in areas/disciplines where there are few or no peer-reviewed or refereed journals, the Higher Educational Institution may relax this condition for recognizing a person as a Research Supervisor, with reasons recorded in writing.
- iv. Co-Supervisors from within the same Department or from other Departments of the same or other institutions may be permitted with the approval of the competent authority.
- v. Adjunct Faculty members shall not act as Research Supervisors but may serve as co-supervisors.
- vi. In cases of interdisciplinary/multidisciplinary research work, if necessary, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- vii. An eligible Professor, Associate Professor, or Assistant Professor may supervise up to eight (8), six (6), or four (4) Ph.D. scholars, respectively, at any given time.
- viii. In the case of the relocation of a female Ph.D. scholar due to marriage or other reasons, the research data may be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all other conditions in these Regulations are met, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. The scholar shall, however, give due credit to the parent institution and Supervisor for the research already conducted.
- ix. Faculty members with less than three years of service before superannuation shall not be allowed to take on new research scholars under their supervision. However, such faculty members may continue to supervise Ph.D. scholars already registered with them until superannuation and may serve as co-supervisors after superannuation, but not beyond the age of 70 years.
- x. If a Supervisor leaves the University permanently and is unable to continue supervising a candidate, the DRC shall recommend appointing the departing faculty member as a Co-Supervisor for his/her Ph.D. students and shall recommend another faculty member of the University to act as the primary

Supervisor. If the departing Supervisor cannot continue as a Co-Supervisor, the candidate will work under the new Supervisor assigned by the University.

- xi. Under no circumstances shall a Ph.D. candidate have more than two Supervisors, including a Co-Supervisor.

b. Allocation of Supervisor

After a candidate has been registered, an approved supervisor may be allotted by the University through a suitable mechanism. The supervisor will act as the Chairman of the Research Advisory Committee (RAC) for the candidate and will form the RAC in consultation with the candidate. If required, the RAC may propose a Co-Supervisor to the University through the Head of the Department. All eligible candidates who wish to become supervisors, according to the eligibility criteria mentioned in 9.a(i), must apply for the approval of their candidature as supervisors by the Academic Council.

9. ADMISSION OF INTERNATIONAL STUDENTS IN THE PH.D. PROGRAM

- a. Each Supervisor may guide up to two international research scholars on a supernumerary basis, in addition to the number of Ph.D. scholars permitted under clause 8(a.vii).
- b. The selection procedure for Ph.D. admission of international students shall be the same as that for other candidates in the University. However, the rules and regulations may be adjusted in accordance with the guidelines/norms issued by the relevant statutory/regulatory bodies from time to time.
- c. At any given time, the total number of Ph.D. scholars under a faculty member, whether as a Supervisor or a Co-Supervisor, shall not exceed the number prescribed in clause 8(a.vii) and clause 9(a).

10. COURSEWORK STRUCTURE

There shall be compulsory coursework of 12 credits in the first semester, which is a prerequisite for the confirmation of registration of students admitted provisionally. The coursework shall consist of the following courses:

Courses	Credit
Research and Publication ethics	2
Research Methods	2
Advanced Sports Statistics	4
Specialization	4

- a. The University will offer various audit courses for Ph.D. scholars in the second semester to enhance their competency in completing their research work. These courses may also be attended by other students.

- b. Based on the recommendation of the Department, the Academic and Activity Council may identify courses recognized by UGC/SWAYAM/MOOCs as part of the credit requirements for the Ph.D. program.
- c. No student shall be allowed to register for coursework after the expiry of the notified deadline.

11. COURSEWORK AND ITS CONTENTS

- a. A Ph.D. aspirant must successfully complete the coursework as prescribed by the University within the first semester. The registration of such students shall be confirmed only if they qualify for a minimum of 12 credits with the required minimum SGPA for the coursework. The coursework may be designed by the Department as follows:
 - i. One paper on Research and Publication Ethics may include topics on honesty in research data, results, methods, procedures, and publication status. It should cover issues related to avoiding falsification, fabrication, and misrepresentation of research outputs. Students will be sensitized to the importance of ethics throughout their research journey and its implications.
 - ii. One paper on Research Methods, which may include the basic components of research techniques, tools, understanding of specific manuals/style sheets, the format of articles/dissertations, different types of citations, plagiarism, IPR, quantitative methods, literature review, computer applications, etc.
 - iii. One paper on Sports Statistics, which may include the design of experiments, hypothesis testing, sample size determination, power determination, testing assumptions, ANOVA, MANOVA, Independent Measures, Repeated Measures Design, Multivariate Analysis, SPSS, etc.
 - iii. One subject-specific paper (students can opt for any one from exercise physiology, sports biomechanics, sports psychology, or health education).
- b. In each paper, 60% weightage shall be given to the end-semester examination, and 40% weightage shall be given to internal assessments based on assignments/presentations/projects and other research-based activities during the semester. However, the concerned course instructor may determine the specific criteria for weightage.
- c. Candidates failing to obtain a minimum SGPA of 6 may be given one more opportunity on a date notified by the University to appear in the examination and improve their SGPA. If the candidate still fails to meet the required SGPA, their candidature in the Ph.D. program shall be canceled.
- d. Candidates are required to complete a minimum residency period of one year from the date of registration.

12. AWARD OF GRADES:

Grades awarded to a student in coursework will be based on their performance in quizzes,

tutorials, practicals, sports, fieldwork, laboratory work, workshops, industry assignments, and home assignments, as well as attendance where applicable. Additionally, a minimum of one minor test and one comprehensive test will contribute to the final grade. The distribution of weightage will be determined and announced by the course teacher.

The possible grades and their equivalent numerical points are listed in Table 1. Grades O to B are considered passing grades, while F is considered a failing grade.

Table 1: Grades and their description

Letter Grade	Grade Point	Description
O	10	Outstanding
A+	9	Excellent
A	8	Very Good
B+	7	Good
B	6	Above average
F	0	Fail
Ab	0	Absent

Note: A student obtaining a Grade F shall be considered failed and must reappear in the examination. In addition, there shall be other grades as stated in Table 2.

Table 2. Other Grades which are used in different situations

Letter Grade	Status	Remarks/ Context
I	Incomplete	A letter grade is assigned if any evaluation component remains incomplete due to an extraordinary situation faced by the student. This grade must be converted to one of the regular grades above within the first month of the following semester by completing the remaining evaluation component(s).
X	Extended Project	Letter grade assigned in case a project work remains incomplete and the work is extended to the following semester.

S	Satisfactory	Letter grade assigned for successful completion of a Foundation/ Audit Course.
U	Unsatisfactory	Letter grade assigned for being unsuccessful in a Foundation/ Audit Course.
W	Withdraw	This letter grade is assigned if a student i. withdraws from a course after the last date for withdrawal of courses ii. is deficient in attendance.

Remarks:

- i. A student is permitted to apply for a re-exam only for the course in which he/she has enrolled and secured a grade of F, I, U, or W.
- ii. The minimum qualifying marks for a course or program shall be a CGPA of 6.
- iii. Students must qualify for both the Internal Assessment and the End-Semester examinations in aggregate.
- iv. There shall be no rounding off of the SGPA.
- v. The SGPA obtained by a student shall be out of a maximum of 10 points.

Performance Indicator:

The Semester Grade Point Average (SGPA): The SGPA of a student is an indicator of her/his overall academic performance in all courses she/he registers for during the semester. It is computed as:

$$SGPA = \frac{\sum_{i=1}^n c_i p_i}{\sum_{i=1}^n c_i}$$

where c_i is the number of credit offered in the i^{th} subject of a semester for which SGPA is to be calculated, p_i is the corresponding grade point earned in the i^{th} subject, where $i=1,2,3,\dots,n$, are the number of subjects in that semester.

13. REGISTRATION AND APPROVAL OF TOPIC

- a. After qualifying the coursework, the candidate shall submit the synopsis of the proposed dissertation to the Department through the RAC, as per the date announced by the Department/University. The topic of the dissertation will be approved by the DRC of the respective Department. The candidate's Supervisor will be a member of the DRC for that candidate. If, for any reason, a candidate wishes to change the research topic, he/she may do so within six months of its approval, based on the recommendation of his/her RAC and with approval by the DRC. After this period, only minor changes to the topic may be permitted by the DRC.
- b. After the approval of the research topic, the candidate shall be officially registered for the Ph.D. program, with the date of dissertation submission indicated after the semester fee has been paid. The registration date for the Ph.D. program can be set from the date of joining the coursework.

- c. The DRC may recommend the cancellation of a student's registration for any breach of the provisions stated above or of the rules and regulations.

14. REQUIREMENTS DURING PH.D. CANDIDATURE

- a. Every research scholar shall be required to demonstrate continuous progress during the period of his/her registration, which shall be monitored by the candidate's Supervisor within the RAC.
- b. Every research scholar shall be required to submit a half-yearly report through the RAC.
- c. The Supervisor shall submit his/her assessment report on the progress of the candidate and indicate whether it is satisfactory. The report shall be presented to the Departmental Research Committee for evaluation at its next meeting.
- d. If two consecutive half-yearly reports are found unsatisfactory, the Head of the Department, on the recommendation of the Departmental Research Committee (DRC), may recommend to the University the cancellation of the registration.
- e. Every research student shall pursue full-time research as a regular student for a minimum period of one year from the date of registration.
- f. Candidates already employed shall be considered part-time Ph.D. candidates but must attend the coursework as regular candidates.

15. REMOVAL OF THE NAME FROM THE ROLLS OF THE UNIVERSITY

- a. A student's name shall be deemed to have been removed from the rolls of the University if he/she:
 - i. fails to qualify for any prerequisite course within the stipulated duration,
 - ii. fails to secure an SGPA of 6 in the coursework,
 - iii. fails to submit two consecutive half-yearly reports,
 - iv. fails to deposit the registration/annual/continuation fee within the stipulated time, or
 - v. fails to submit his/her dissertation within six years from the date of admission to the Ph.D. program.
- b. The University, on the recommendation of the Departmental Research Committee, may consider a candidate's request for re-admission and eligibility for dissertation submission, subject to a minimum of three years of research work and a maximum of six years, including any discontinued period.

16. RESEARCH ADVISORY COMMITTEE (RAC)

- a. There shall be a Research Advisory Committee for each Ph.D. scholar, with the Research Supervisor of the scholar serving as the Chairperson of this Committee. The Committee shall have the following responsibilities:
 - i. To review the research proposal and finalize the topic of research.

- ii. To guide the research scholar in developing the study design and research methodology, and to identify the course(s) he/she may need to undertake.
 - iii. To periodically review and assist in the progress of the research work of the scholar
- b. A research scholar shall appear before the Research Advisory Committee once every six months to present the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the Research Advisory Committee to the University, with copies provided to the Department and the research scholar.
 - c. If the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons and suggest corrective measures. If the scholar fails to implement these corrective measures, the Committee may recommend the cancellation of the scholar's registration, stating specific reasons.
 - d. RAC shall consist of the following members:

Research Supervisor	: Chairperson
One/ Two members (to be decided by the Supervisor in consultation with the candidate.)	: Member

Remark: The RAC cannot have more than 3 members in any case besides the Chairperson.

17. GUIDELINES FOR THE SUBMISSION OF THE DISSERTATION FOR EVALUATION

- a. No candidate shall be permitted to submit his/her dissertation for the Ph.D. degree unless he/she has pursued research for no less than three years for regular candidates and four and a half years for part-time candidates after admission to the Ph.D. program.
- b. Mid-Term Presentation Seminar: Every candidate must present his/her work one year after registration in the Ph.D. program before the DRC, allowing for structural changes in the topic if necessary. Candidates may make minor changes to the title of the dissertation if needed, based on the recommendation of the DRC, which will be forwarded by the RAC during the mid-term presentation.
- c. Pre-Dissertation Submission Seminar: Before submitting the dissertation, the student shall present a pre-dissertation submission seminar in the Department, which shall be open to all faculty members and research students, to receive feedback and comments. These comments should be incorporated into the draft dissertation under the guidance of the Supervisor. The notice of the Pre-Dissertation Submission Seminar shall be issued by the Head of the Department with intimation to the Vice-Chancellor.
- d. Upon the successful presentation of the Pre-Dissertation Submission Seminar, the research scholar is required to submit the dissertation, with corrections incorporated as suggested, within three months from the date of the pre-submission seminar, but not earlier than one month. If the scholar fails to meet this deadline, he/she will

have to repeat the pre-submission seminar.

- e. The dissertation must be checked for language accuracy, and a certificate to this effect must be submitted.
- f. Before the final submission, the dissertation must be checked for plagiarism, and a certificate verifying this, duly forwarded by the Supervisor, must be attached.
- g. A candidate shall submit his/her dissertation for the Ph.D. degree in the prescribed manner. The Ph.D. scholar shall submit one copy of the dissertation to the Department and two copies to the COE, along with the abstract in spiral-bound form, duly forwarded by the Supervisor(s) and countersigned by the Head of the Department. A soft copy must also be submitted on a CD, pen drive, or via official email.

18. PANEL OF EXAMINERS

Once the dissertation is submitted, within a week, the Chairperson of the RAC shall forward a list of six external examiners from the relevant research area who are not employed by the University to the Controller of Examinations on the prescribed proforma for the drawing of a panel of examiners. The Vice-Chancellor shall then appoint the examiners, who may be selected from the list submitted by the RAC or from other sources.

19. EVALUATION OF DISSERTATION

- a. The dissertation submitted by the candidate shall be evaluated by two External Examiners appointed by the Vice-Chancellor.
- b. Each Examiner, after examining the dissertation, shall submit a report to the Controller of Examinations (COE) containing a clear recommendation stating whether, in his/her opinion:
 - i. the dissertation is acceptable as such, and the viva-voce examination of the candidate may be held; or
 - ii. the dissertation is acceptable subject to modifications as suggested, without further reference to the Examiner; or
 - iii. the dissertation is not satisfactory for the viva-voce examination, but the candidate is permitted to resubmit the dissertation in a revised form for re-examination; or
 - iv. the dissertation is rejected.
- c. The Examiner shall not recommend that the viva-voce be held unless he/she is satisfied that:
 - i. the dissertation constitutes a contribution to knowledge, characterized either by the reinterpretation of known facts or the development of new knowledge and/or techniques;
 - ii. the methodology pursued by the candidate is sound; and
 - iii. its literary presentation is satisfactory.

- d. If the COE is satisfied that the external examiners have unanimously recommended that the viva-voce examination of the candidate be held, he/she shall accordingly refer it to the Head of the Department to prepare a summary report in consultation with the concerned Supervisor(s) for the conduct of the viva-voce.
- e. In case the COE finds that the external examiners of the dissertation have not unanimously recommended that the viva-voce examination of the candidate be held, the COE shall place the report of the examiners before the Vice-Chancellor for directions. In such a case, the Vice-Chancellor will appoint a third external examiner, one not in the service of the University and/or on the Board of Studies of the Department/Centre and/or the School Board, to examine the dissertation and act according to the recommendation of the third external Examiner.
- f. A recommendation is not to be considered negative if a revision is recommended and the revised dissertation is subsequently accepted by the Examiner.
- g. Furthermore, if the dissertation, after revision, is not accepted by the Examiner, the original and the revised versions of the dissertation shall be sent to the third external Examiner, and the version approved by the third external Examiner shall be considered final.
- h. If the Examiner approves the dissertation but suggests some corrections to be made, these suggestions may be forwarded to the RAC by the COE. It will be the responsibility of the RAC to review those suggestions, and if deemed worthy or suitable, they may be incorporated into the dissertation by the candidate.
- i. The dissertation, in bound form and on a CD, shall be submitted in triplicate, along with a soft copy on a pen drive, CD, or official email, to the COE through the Head of the Department.

20. VIVA VOCE

- a. Upon satisfactory evaluation of the Ph.D. dissertation and the evaluation report, the student shall undergo a viva-voce conducted by the Open Defense Committee (ODC), which shall be openly defended by the candidate. The open defense will be conducted in the presence of a committee consisting of the Supervisor, the appointed external examiner, and the Head of the Department. The Supervisor will be the Chairperson of the committee.
- b. The viva-voce of the research scholar to defend the dissertation shall be conducted only if the evaluation report(s) of the external Examiner(s) on the dissertation are satisfactory and include a specific recommendation for conducting the viva-voce examination.
- c. If the Supervisor is unable to participate in the viva-voce within a reasonable time, the DRC may recommend another member of the faculty to take his/her place.

- d. The ODC may, based on the unanimous opinion of its members, recommend
 - i. that the candidate be awarded the Ph.D. degree;
 - ii. that the dissertation is suitable/fit for publication in its present form;
 - iii. that the dissertation may be published with revisions;
 - iv. that the dissertation be referred back to the candidate for revision;
 - v. that the dissertation be rejected and the candidate may not be awarded the Ph.D. Degree.
- e. Procedure of Conducting viva voce:
 The report of the viva voce of the candidate shall be signed by the members of the ODC. In case the ODC recommends that the candidate be awarded the Ph.D. degree, the report shall be submitted by the Head to the CoE with the following documents:
 - i. the reports of the external examiners who evaluated the dissertation
 - ii. the evaluation report of the candidate's performance signed by the members of the ODC and countersigned by the Head of the Department in the Open Defense.
- f. The University shall develop appropriate methods to complete the entire process of evaluating the Ph.D. dissertation within six months from the date of submission.
- g. If the ODC recommends that the dissertation of the candidate be rejected, the report will be sent to the COE for further action.

21. PUBLICATION OF DISSERTATION

If the examiners have recommended that the dissertation is suitable/fit for publication, it may be published in book form by the research scholar.

22. Ph.D. THROUGH PART-TIME MODE

- a. Ph.D. programs through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- b. The candidate must submit a "No Objection Certificate" for a part-time Ph.D. program from the appropriate authority in the organization where the candidate is employed, clearly stating that:
 - i. the candidate is permitted to pursue studies on a part-time basis;
 - ii. his/her official duties permit him/her to devote sufficient time to research;
 - iii. he/she will be relieved from duty to complete the coursework.
 - iv. However, faculty employed by the University may be allowed to join the Ph.D. program as an internal candidate, provided he/she is permitted by the Vice-Chancellor on the recommendation of the Head/Dean of the Department. Such candidates, if serving the University either on deputation/attachment, may submit the NOC from his/her parent department or the University.

This provision shall continue during the initial years of the University to facilitate its research activities. No more than one candidate will be allowed as an internal candidate per year. All such candidates must fulfill the requirements for being a Ph.D. candidate like other candidates. These candidates must continue to perform their official duties while managing their

time.

- c. Notwithstanding anything contained in these Regulations or any other law in force, the University shall not conduct Ph.D. programs through distance and/or online mode.

23. FEE FOR Ph.D. PROGRAM

(i) Fees for the I and II semester

Fees during Ph.D candidature Semester wise

Sl. No.	Name of the Head	Indian Students		Foreign Nationals/ NRI Students	
		I Semester	II Semester	I Semester	II Semester
i.	Tuition Fee	5000	5000	13500	13500
ii.	Identity Card Fee	250	-	250	-
iii.	Caution Money (Refundable)	5000	-	5000	-
iv.	Registration/Immigration Fee	1000	-	1000	-
v.	Examination Fee	2000	2000	2000	2000
vi.	Sports Fund	1500	1500	2000	1000
vii.	Development Fund	1000	2000	6600	6600
viii.	Medical Fund (First Aid)	500	500	500	500
ix.	Student Cultural/Literary Society Fund	500	500	500	500
x.	Student Welfare Fund	1000	1000	1000	1000
xi.	Library Fund	2000	2000	2000	2000
xii.	Faculty Development Fund	5000	5000	5000	5000
Total		24750	19500	39350	32100

(ii) Fees During PhD candidature Semester wise

- The candidate needs to deposit the continuation fee of Rs. 5000/- w.e.f. 3rd semester until he/she submits his/her thesis. However, for candidates with JRF/SRF/other scholarships, and those who wish to stay in the University for carrying out their Ph.D works additional fees may be determined by the University from time to time.
- At the time of submission of the Thesis – Rs. 20,000 will have to be deposited as Evaluation fees alongwith dues.
- The research scholar shall pay the requisite fee prescribed by the University, failing which his/her registration shall be canceled.
- The fees shall be subject to change as decided by the University from time to time.

24. FINAL SUBMISSION OF DISSERTATION AND DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the

announcement of the award of the Ph.D. degree, the COE will submit a copy of the dissertation along with a soft copy to the library. The University library shall then submit an electronic copy of the Ph.D. dissertation to INFLIBNET for hosting, to make it accessible to all institutions/colleges.

25. AWARD OF Ph.D. DEGREE

- a. The degree shall be awarded by the Executive Council (EC) upon the approval of the Academic Council (AC) of the University, based on the recommendation of the ODC. The announcement of the award shall be made by the COE.
- b. The Chairman of the Academic Council shall be authorized to approve the ODC report, subject to ratification by the AC/EC, and allow the issuance of the provisional certificate indicating the date of approval as the date of award of the degree, to facilitate the awardees in applying for jobs, etc.
- c. Along with the degree, the University shall issue a certificate stating that the degree has been awarded in accordance with the UGC (Minimum Standards and Procedure for Awards of Ph.D. Degree) Regulation, 2022 (as amended from time to time).
- d. The Chairperson of the AC/EC shall be empowered by a Regulation/Ordinance to issue the Original Degree Certificate before the Convocation to a needy student upon written request with supporting documents and payment of any applicable charges.

26. REMOVAL OF DIFFICULTIES

- a. Any doubt or dispute regarding the interpretation of these Rules and Regulations shall be referred to the Vice-Chancellor, whose decision, in his capacity as Chairman of the Academic Council, shall be final and binding.
- b. The Vice-Chancellor is authorized to:
 - i. Modify, amend, and/or delete any of the clauses given in the Rules and Regulations or add any clauses, which shall be reported to the Academic Council at its next meeting for approval.
 - ii. Order a special procedure for the evaluation of a Ph.D. dissertation to handle/protect work of classified nature involving national security, sovereignty, or to protect the intellectual property rights of the candidate, the supervisor, and the University.
 - iii. Add provisions to these Rules and Regulations for handling circumstances not covered by them.

Notwithstanding what is contained in the Rules and Regulations, the Academic Council or the Vice-Chancellor may, in exceptional circumstances and based on the recommendations of the DRC and the merits of each case, consider, at its discretion and for reasons recorded in writing, relaxation of any provisions except those

related to CGPA requirements for qualifying the coursework.

27. LEGAL AND OTHER MATTERS

- a. Any legal matters relating to the “Ordinance and Regulations for the Degree of Ph.D. 2023” shall be subject to the jurisdiction of courts in Dibrugarh only.
- b. This is only a guideline and is subject to change from time to time based on the recommendations and advice of the statutory bodies of Sri Sri Aniruddhadeva Sports University, Chabua.
